



懷德證券<sup>SM</sup>  
White Pacific Securities, Inc.

## ACCOUNT UPDATE FORM

**Account Number:** \_\_\_\_\_

**Account Name(s):** \_\_\_\_\_

To avoid delays in processing your request, completion of all questions is required.

### **Applicant Information**

- Name: \_\_\_\_\_
  - Day Phone Number: \_\_\_\_\_
  - Evening Phone Number: \_\_\_\_\_
  - Email: \_\_\_\_\_
  - Employers Name: \_\_\_\_\_
  - Title/Occupation: \_\_\_\_\_
  - Employer's Address: \_\_\_\_\_
  - Marital Status:  Married.  Single.  Divorced.  Other.
  - Number of Dependents: \_\_\_\_\_
  - Are you a director, 10% shareholder or officer of a publicly trade company?  
 No.  Yes. Please list the companies: \_\_\_\_\_
  - Are you associated with a brokerage firm, a member of a stock exchange or FINRA?  
 No.  Yes. Please list the companies: \_\_\_\_\_
- \_\_\_\_\_

### **Co-Applicant Information**

- Name: \_\_\_\_\_
- Day Phone Number: \_\_\_\_\_
- Evening Phone Number: \_\_\_\_\_
- Email: \_\_\_\_\_
- Employers Name: \_\_\_\_\_
- Title/Occupation: \_\_\_\_\_
- Employer's Address: \_\_\_\_\_
- Marital Status:  Married.  Single.  Divorced.  Other.

- Number of Dependents: \_\_\_\_\_
  - Are you a director, 10% shareholder or officer of a publicly trade company?  
 No.  Yes. Please list the companies: \_\_\_\_\_
  - Are you associated with a brokerage firm, a member of a stock exchange or FINRA?  
 No.  Yes. Please list the companies: \_\_\_\_\_
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## Financial Profile

(For Joint Accounts, individual data should be combined. **All questions must be answered.**)

- Annual Income (US Dollar Amount):  
 Under \$25,000.  \$25,000-\$80,000.  \$80,000-\$150,000.  Over \$150,000.
- Estimated Net Worth (Exclusive of Residence): US\$ \_\_\_\_\_
- Estimated Liquid Net Worth (Cash, Stocks...): US\$ \_\_\_\_\_
- Federal Tax Bracket:  ≤15%.  15% - 28%.  ≥ 28%.  Other: \_\_\_\_\_
- Investment Objective:  Income.  Growth.  Speculation(occasional).  Speculation.  
 Day Trading
- Investment Knowledge:  Limited.  Good.  Extensive.  Professional Trader.
- Number of Years Investment: \_\_\_\_\_
- Average Size of Transaction (US Dollar Amount): \_\_\_\_\_
- Average Number of Transaction Per Year: \_\_\_\_\_

\_\_\_\_\_  
Applicant Signature

\_\_\_\_\_  
Co-applicant Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date